

Docket No.
9896.129.3

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

BIOACTIVE AGENT RELEASE COATING

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as United States Application No. or PCT International

Application Number _____

and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

60/083,135

27 April 1998

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

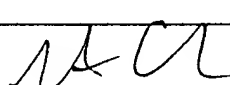
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.


POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Philip M. Goldman, 31,162
 James R. Haller, 24,906
 Gregory P. Kaihoi, 30,952
 Edward S. Hotchkiss, 33,904
 David C. West, 35,735
 Karrie G. Weaver, 43,245
 Charles D. Segelbaum, 42,138

Send Correspondence to: Philip M. Goldman
 Fredrikson & Byron, P.A.
 1100 International Centre, 900 Second Avenue South
 Minneapolis, MN 55402

Direct Telephone Calls to: *(name and telephone number)*
 Philip M. Goldman (612) 347-7088

Full name of sole or first inventor	CHUDZIK, Stephen J.	
Sole or first inventor's signature		Date 4/15/99
Residence	11 Heather Place, St. Paul, Minnesota 55102	
Citizenship	United States of America	
Post Office Address	11 Heather Place	
	St. Paul, Minnesota 55102	

Full name of second inventor, if any	ANDERSON, Aron B.	
Second inventor's signature		Date 4-15-99
Residence	4847 Birchwood Lane, Minnetonka, Minnesota 55343	
Citizenship	United States of America	
Post Office Address	4847 Birchwood Lane	
	Minnetonka, Minnesota 55343	

Full name of third inventor, if any CHAPPA, Ralph A.	
Third inventor's signature <i>Ralph A Chappa</i>	Date <i>4-15-99</i>
Residence 16260 Suel Lane, Prior Lake, Minnesota 55372	
Citizenship United States of America	
Post Office Address 16260 Suel Lane	
Prior Lake, Minnesota 55372	

Full name of fourth inventor, if any KLOKE, Timothy M.	
Fourth inventor's signature <i>T. M. Klocke</i>	Date <i>4-15-99</i>
Residence 11705 Shannon Court, #122, Eden Prairie, Minnesota 55344	
Citizenship United States of America	
Post Office Address 11705 Shannon Court, #122	
Eden Prairie, Minnesota 55344	

Full name of fifth inventor, if any	
Fifth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

ASSIGNMENT

We, Stephen J. Chudzik, Aron B. Anderson, Ralph A. Chappa, and Timothy M. Kloke, citizens of the United States, residing at 11 Heather Place, St. Paul, Minnesota 55102, 4847 Birchwood Lane, Minnetonka, Minnesota 55343, 16260 Suel Lane, Prior Lake, Minnesota 55372, and 11705 Shannon Court, #122, Eden Prairie, Minnesota 55344, respectively, have made an invention relating to BIOACTIVE AGENT RELEASE COATING and have today executed an application for United States Letters Patent based thereon and identified by attorney's File No. 9896.129.3, and naming the following inventors: Stephen J. Chudzik, Aron B. Anderson, Ralph A. Chappa, and Timothy M. Kloke, S.N. _____, filed _____. I hereby authorize and request my attorney, Philip M. Goldman of 1100 International Centre, 900 Second Avenue South, Minneapolis, Minnesota 55402, to insert the filing date and application number of said application when known.

In exchange for good and valuable consideration, the receipt of which we now acknowledge, we hereby assign to SurModics, Inc., a Minnesota corporation having its principal place of business at 9924 West 74th Street, Eden Prairie, Minnesota 55344-3523, its successors and assigns:

- (1) The entire right, title and interest to the above-identified invention and application;
- (2) The entire right, title and interest to any United States or foreign Letters Patents which may issue with respect to the above-identified invention;
- (3) The entire right, title and interest to any renewals, reissues, extensions, substitutions, continuations, continuations-in-part, or divisions that may be filed with respect to the above-identified invention and application; and
- (4) The right to apply for Letters Patents in foreign countries in its own name and to claim any priority rights to which such foreign applications are entitled under international conventions, treaties or otherwise.

We further agree for ourselves and for our heirs, executors and administrators, to execute and deliver without further consideration any further applications, assignments or other documents and to perform such other lawful acts as SurModics, Inc., its successors and assigns may deem necessary to fully secure its rights, title or interest as outlined herein.

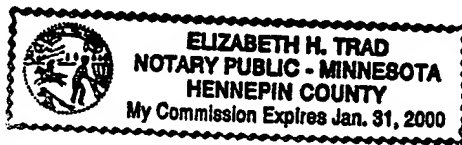
We hereby authorize and request the Commissioner of Patents and Trademarks to issue to SurModics, Inc. any Letters Patents which may be granted in accordance with this Assignment.

Dated this 15 day of April, 1999.

Stephen J. Chudzik
Stephen J. Chudzik

Subscribed and sworn to before me
this 15 day of April, 1999.

Elizabeth H. Trad
Notary Public



Dated this 15 day of April, 1999.

Aron B. Anderson
Aron B. Anderson

Subscribed and sworn to before me
this 15 day of April, 1999.

Elizabeth H. Trad
Notary Public

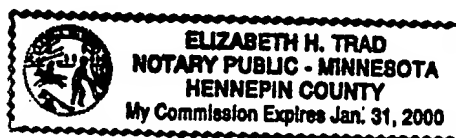


Dated this 15 day of April, 1999.

Ralph A. Chappa
Ralph A. Chappa

Subscribed and sworn to before me
this 15 day of April, 1999.

Elizabeth H. Trad
Notary Public



Dated this 15 day of April, 19 99.

Timothy M. Kloke
Timothy M. Kloke

Subscribed and sworn to before me
this 15 day of April, 1999.

Elizabeth H. Trad
Notary Public



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 27, 1999

PTAS

FREDRIKSON & BYRON, P.A.
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1100 INTERNATIONAL CENTRE
900 2ND AVENUE SOUTH
MINNEAPOLIS, MN 55402



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED- BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/15/1999

REEL/FRAME: 9896/0530
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
CHUDZIK, STEPHEN J.

DOC DATE: 04/15/1999

ASSIGNOR:
ANDERSON, ARON B.

DOC DATE: 04/15/1999

ASSIGNOR:
CHAPPA, RALPH A.

DOC DATE: 04/15/1999

ASSIGNOR:
KLOKE, TIMOTHY M.

DOC DATE: 04/15/1999

ASSIGNEE:
SURMODICS, INC.
9924 WEST 74TH STREET
EDEN PRAIRIE, MINNESOTA 55344

SERIAL NUMBER: 09292510
PATENT NUMBER:

FILING DATE: 04/15/1999
ISSUE DATE:

*Socketed in
Notes
7-21-99*

DCH

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JUL 19 1999
P.M. Goldman

9896/0530 PAGE 2



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Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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Washington, D.C. 20231

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

04-23-1999

Ticket No.: 9896.129.3

FORM PTO-1595 (Modified)
(Rev. 6-93)
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Patent and Trademark Office



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Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephen J. Chudzik
Aron B. Anderson
Ralph A. Chappa
Timothy M. Kloeke

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 15 April 1999

2. Name and address of receiving party(ies):

Name: SurModics, Inc.

Internal Address: _____

Street Address: 9924 West 74th Street

City: Eden Prairie State: MN ZIP: 55344

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

09/292,510

If this document is being filed together with a new application, the execution date of the application is: 15 April 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philip M. Goldman

Internal Address: Fredrikson & Byron, P.A.

1100 International Centre

Street Address: 900 2nd Avenue South

City: Minneapolis State: MN ZIP: 55402

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

061910

04/22/1999 JSHABAZZ 00000217 09292510

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Philip M. Goldman

Name of Person Signing

Signature

15 APR 1999

Date

Total number of pages including cover sheet, attachments, and document:

4